Alabama Trails
FOUNDATION

GENERAL CONTRACTOR PREQUALIFICATION APPLICATION FORM
FOR
U.S. 78 BRIDGE/PINHOTI TRAIL ENHANCEMENT PROJECT

The Alabama Trails Foundation, hereinafter called the "Client," proposes to contract for the **US 78 BRIDGE/PINHOTI TRAIL- ENHANCEMENT PROJECT,** hereinafter called the "Project." The Project generally consists of sitework for and placement of a prefabricated pedestrian bridge and abutments, including but not limited to:

- Site preparation and construction of bridge abutments
- Fabrication of custom Pinhoti Trail signage and installation onto bridge
- Coordinate delivery of pedestrian bridge
- Traffic management along US Hwy 78 during bridge placement
- Install and secure bridge to abutments

This prequalification is for General Contractors only. Only General Contractors who have been prequalified through the process described herein will be eligible to bid on the Project.

PROCEDURES for PREQUALIFICATION

1. Applicants shall submit their completed Prequalification Application Packet by 5:00 PM CST, Friday, August 14, 2026, to as directed below.
2. All questions must be answered specifically, clearly, and comprehensively. All information provided must pertain specifically to the entity that will bid for and construct the project. Answers shall be provided on separate pages and follow the numerical formatting of this application. Use Attachments "A & B", located at the end of this document, to provide current and relevant experience as directed. **DO NOT** use any other pages of this form to provide answers. Completed Prequalification Application Packets shall be bound in PDF format, and include the following items:
    - A Cover Sheet titled "*Prequalification Application for US 78 Bridge/Pinhoti Trail Enhancement Project"* and stating the following information:
        - General Contractor's Name and Address
        - General Contractor's License No.
        - Date and Time for Submittal
    - This Application Form followed by all completed Answer Sheets
    - Completed Attachment Sheets "A & B"
    - Any other Required Documentation requested herein
    - Completed Prequalification Submission Checklist

3. Completed Prequalification Application Packets shall be submitted electronically by uploading to the Alabama Trails Foundation website at **https://alabamatrailsfoundation.org/us78-2026/**before the published due date and time.
4. All applications will be reviewed and evaluated by the Client in consultation with the Engineer. A score of **75% of total available points** is required to be pre-qualified.
5. The Client's intent is to issue notification to prequalified bidders by no later than the close of business, August 14, 2026. A listing of prequalified bidders will be furnished to each prequalified bidder. Upon receipt of notice of prequalification from the Client, the prequalified general contractor bidders may obtain bid documents as provided in the Advertisement for Bids.
6. Clarifications, alterations, or changes made by the Client to the requirements for prequalification shall be in writing only. Verbal information is not valid or binding. Modifications will be emailed to those applicants having obtained this Prequalification Application Form from the website.
7. The Client may revoke its prequalification of a bidder under the following procedures:
    - No later than five working days after the opening of bids, the Client, through the Engineer, issues written notice to the bidder of its intent to revoke prequalification and the grounds therefore;
    - the bidder is then provided an opportunity to be heard before the Client on the intended revocation;
    - the Client makes a good faith showing of a material inaccuracy in the prequalification application of the bidder or of a material change in the responsibility or status of the bidder since submitting its prequalification application; and
    - the revocation of prequalification is determined no later than 10 days after written notice of intent to revoke unless the bidder agrees in writing to an extension in time.
8. The Client reserves the sole right and discretion to abandon and/or modify this prequalification process at any time prior to its completion or for an unbid project.

CRITERIA for PREQUALIFICATION

The purpose of having criteria is to set a measure by which contractors shall be judged to be prequalified and to ensure compliance with Code of Alabama Title 39-2-4. This is a set of criteria that shall be added to or taken away from depending on the construction job being bid. Per the Code of Alabama (1975), *"any awarding authority that proposes to prequalify bidders shall establish **written prequalification procedures and criteria** that (1) are published sufficiently in advance of any affected contract so that a bona fide bidder may seek and obtain prequalification prior to preparing a bid for that contract, such publication to be accomplished by the methods specified in subsection (a) of Section 39-2-2; (2) are related to the purpose of the contract or contracts affected; (3) are related to contract requirements or the quality of the product or service in question; (4) are related to the responsibility, including the competency, experience, and financial ability, of a bidder; and (5) will permit reasonable competition at a level that serves the public interest. The prequalification publication may run concurrently with the publication required under subsection (a) of Section 39-2-2, provided it produces the above required advance notice".*

Company Information (Maximum 10 pts)
Points will be assigned according to the completeness of this section.

Within the past five years, has your company been debarred by any federal agency or has your company or any current or former officer, director, partner, principal, or other key individual been convicted of, or entered a plea arrangement regarding, any act prohibited by state or federal law which involves theft, fraud, bribery, collusion, conspiracy, violation of state or federal antitrust laws, bid laws, or material representation? **If your answer is "yes" to this question, please contact Cindy Ragland (cindy@alabamatrailsfoundation.org) before proceeding with the remainder of this application.**

Within the past two years, has your company or any current or former officer, director, partner, principal, or other key individual served probation or paid, or is currently paying, any civil or criminal fines resulting from a conviction or plea agreement for a conviction for any act prohibited by state or federal law which involves theft, fraud, bribery, collusion, conspiracy, violation of state or federal antitrust laws, bid laws, or material representation? **If your answer is "yes" to this question, please contact Cindy Ragland before proceeding with the remainder of this application.**

Provide proof that you are currently licensed by the State of Alabama to do the type of work for which you are requesting prequalification.

1. List formal name of organization Submitting and office address with telephone, facsimile, and e-mail.
2. Project Name and Number for which contractor is prequalifying.
3. How long has your organization been in business under said name?
4. List formal company structure (Corporation, Partnership, Individual, or Other) and the date it was organized as such.
5. List officer's names and how long they have been continuously employed at company listed in #1.
6. List jurisdictions and trade categories as well as license numbers in which your organization is legally qualified to do business.
7. List the categories that your organization normally performs with its own work force. You must qualify for each of these if the Client requires prequalification for such trade (see #7 above).
8. Provide resumes' of all key individuals in your organization.

Bonding, Financial, and Insurance (Maximum 15 pts)
ATF wants assurance that all Surety and Insurance Companies used are sound companies and that the Contractor is in solid financial position with proper liquidity and no serious liabilities, as represented by appropriate bonding from a sound Surety Company or evaluation of the Financial Statements. Points will be assigned according to the completeness and adequacy of this section.

9. Please enclose a letter from your Surety company that includes the following information:
    a. Name and address of your agent
    b. Length of time with this particular Surety company (Note: if shorter than five (5) years, a separate list of previous companies and contact names/numbers should be provided until you have a five (5) year history compiled.)
    c. Bonding capacity per project and in the aggregate

d. Amount of aggregate capacity currently in use

Note: If bonding information cannot be provided at this time, please explain why not and enclose a current Financial Statement. This should be comparative and include the Auditor's Report and Footnotes. If comparative statements are not available, please also enclose the previous year's Statement for comparative purposes. Audited or Reviewed statements are preferred.

10. **(Not required if applying as a subcontractor only)** Insurance – List what companies you have used for the past five (5) years and their Best's Financial Size Category and Best's Financial Strength Rating. List any coverage that has been cancelled by an Insurance Company in the past five (5) years and the reason for such cancellation.

Experience Modification Rate (Maximum 10 pts)
EMR Rate equal to or under 1.0 = 10 points over 1.0 = 0 points

11. List your organization's EMR (Mod) rate for the current year and provide a printout of such. List any claims you have had since December 31st of the previous year.

Litigation and Claims (Maximum 10 pts)
ATF wants to make sure any litigation and claims will not have an adverse effect on this project should your company be awarded the job from the competitive bid process. Points will be assigned according to the reviewers' evaluation of the effect that such claims and litigation have on the ability of your firm to perform the job.

12. Does your organization or any of its officers have any judgments, claims, arbitration, or mediation proceedings pending or outstanding? If yes, please give pertinent details and outcome(s).
13. Has your organization within the past five (5) years filed any lawsuits or requested arbitration or mediation proceedings in regard to any of its construction contracts? If yes, please give pertinent details and outcome(s).

Past Experience (Maximum 20 pts)
The intent of this section is to verify that the submitting contractor has project experience similar to the project for which they are requesting prequalification; based on past experiences. Questions 17, 18, and 19 will only have an adverse effect on scores if the submitting contractor fails to provide pertinent details and outcomes related to the subject of each question if answered "yes". Questions 20 and 21 will only be scored if the contractor's past experience is similar in size, scope and value to the project upon which they are submitting to be approved.

14. Has your organization ever failed to complete any work awarded to it? If yes, please give pertinent details and outcome(s).
15. Has any officer in your organization within the past five (5) years ever been an officer of an organization that failed to complete a construction contract? If yes, please give pertinent details.

16. Has your organization or any current officer of your organization, if not a corporation, filed bankruptcy under Chapter (s) 7, 11, or 13 as defined by the IRS? If yes, please give pertinent details.
17. Give the average annual amount of construction work performed during the past five (5) years.
18. List all jobs contracted under your Alabama Contractor's license in the past five (5) years and how those jobs ended (i.e. on time, failure to complete, etc.). If you list any ATF projects, please give the ATF Project Name and Project Number.

Current Capacity and Ability to Complete this Project (Maximum 20 pts)

The intent of this section is to verify that submitting contractor not only has the relevant project experience with the project for which they are requesting prequalification, but that the contractor will not be over-extended in relation to resources including but not limited to project staffing and bonding capacity. The reviewer will evaluate the information provided for each of these questions in conjunction with the anticipated contract value, contract start date, contract completion date and possible resource needs of the project. The information will also be utilized to complete reference checks. Points will be assigned pursuant to the reviewer's evaluation of the effect that the information provided has on your ability to provide the resources to complete this job.

19. Please list major construction projects currently in progress. Please copy *Attachment A* for each project and use for answering this requirement.
20. Give total amount of work currently in-process.
21. Give total amount of work currently under contract.

Relevant Experience (Maximum 25 pts)

ATF recognizes that contractors who have completed similar type projects bring a certain advantage to the completion of the construction process. Points will be assigned pursuant to the applicant's ability to provide a minimum of (3) three projects that utilize similar building materials, types of construction, phasing strategies, opportunities, etc. The information provided for both the proposed superintendent and project manager will be evaluated based on relevant project experience also. Points will be assigned based on reviewers' evaluation of the effect that the information provided has on your ability to complete this type of project.

22. List all relevant projects your organization has completed within the past five (5) years that are of similar type and scope to the work needed for the ATF Project listed in #2 above. Please copy Attachment B for each project and use for answering this requirement. List a minimum of three (3) projects.
23. List proposed Project Manager and Superintendent and include their resume' in #10 above.

Drug and Safety Programs (Maximum 15 pts)

As part of their Contractor Prequalification process, ATF requires contractors to provide proof of their corporate position on drugs and safety in their field of business. ATF believes that a company with a strong drug and safety program will also reflect favorably on other areas such as quality control. The Drug & Criminal Background Check (Question 28) will be reviewed and points applied if the company's policy demonstrates a consistent program with means for checks of all new employees and procedures in-place to periodically check existing employees. Scoring of the Safety Program will be based on the thoroughness of the program and its applicability to the trade/trades

in which the contractor specializes. Emphasis will be given to safety history with the bulk of points assigned to the Total Recordable Injuries & Illnesses, Man-Hours worked, Incident Rate & Number of Lost Time Accidents (please attach an OSHA Form 300 Log for review)

24. Drug and Criminal Background Checks - Provide a description of your written programs.
25. Safety Program/History – Provide a description of your written program.

Scheduling and Quality Control Program (QAQC) (Maximum 15 pts)

ATF believes that proper scheduling, quality assurance and quality control are all very important components to ensuring a successful project in construction. Please explain in detail your corporate position relating to these components. Applicants should define what means or methods are utilized when scheduling projects (i.e. out sourced service, in-house), describe means for schedule recovery and provide any examples of projects where schedule challenges were overcome.

Points will be assigned to the QA/QC Program based on the reviewer's evaluation of the contractor's ability to clearly demonstrate that their existing program benefits the project's durability and appearance and more importantly aids in supervisory personnel's ability to comply with the contract requirements (i.e. plans and specifications). The Program should exhibit organization and prosecution of work plans and facilitate the contractor's ability to diligently prosecute work.

26. Scheduling and QAQC Program – Provide a description of your company's proactive program including procedures and requirements.

Job Specific Criteria (Maximum 75 pts)

Points will be assigned according to the reviewer's evaluation of the effect of the information provided on your ability to meet any of the "job specific" criteria listed below.

27. Describe projects that your firm has executed which required a strict construction completion deadline in the last five (5) years, including methods used to ensure that the construction deadline was adhered to. Include a minimum of three (3) projects and give specific examples.
28. Describe your company's approach to working on public lands including approach to pedestrian safety, restricted access to construction areas, limited cell coverage, and control of pedestrian traffic in and around construction site, etc.
29. Describe your company's experience working with state highway departments relative to traffic management and egress in and out of the construction site. Include a minimum of three (3) projects and give specific examples.
30. Describe your company's experience working with utility companies including coordinating safety precautions. Provide specific examples.

ATTACHMENT "A"

CURRENT EXPERIENCE SUMMARY

PROJECT NAME: _____

_____

CLIENT: _____

CLIENT'S ADDRESS: _____

_____

CLIENT CONTACT: _____

CLIENT TELEPHONE No: _____

ARCHITECT: _____

ARCHITECT'S ADDRESS: _____

_____

ARCHITECT CONTACT: _____

ARCHITECT TELEPHONE #: _____

CONTRACT BID AMOUNT: _____

CONTRACT START DATE: _____

CONTRACT END DATE: _____

CONTRACT TIME: _____ DAYS

PERCENTAGE OF WORK TO BE PERFORMED BY OWN FORCES: _____%

DESCRIPTION OF WORK PERFORMED:

_____

_____

_____

_____

_____

_____

_____

_____

_____

ATTACHMENT "B"

RELEVANT EXPERIENCE SUMMARY

PROJECT NAME: _____

_____

_____

CLIENT: _____

CLIENT'S ADDRESS: _____

_____

CLIENT CONTACT: _____

CLIENT TELEPHONE No: _____

ARCHITECT: _____

ARCHITECT'S ADDRESS: _____

_____

ARCHITECT CONTACT: _____

ARCHITECT TELEPHONE #: _____

CONTRACT BID AMOUNT: _____

COMPLETED CONTRACT AMOUNT: _____

CONTRACT START DATE: _____ END DATE: _____

CONTRACT TIME INCLUDING CHANGE ORDERS: _____ DAYS

CONTRACT TIME USED: _____ DAYS

COMPLETION DATE: _____

PERCENTAGE OF WORK PERFORMED BY OWN FORCES: _____%

DESCRIPTION OF WORK PERFORMED:

_____

_____

_____

_____

_____

_____

_____

_____

PREQUALIFICATION APPLICATION SUBMISSION CHECKLIST

It is the applicant's responsibility to review the advertisement, prequalification questionnaire and project specifications to ensure the submission meets Alabama Trails Foundation (ATF) requirements. Please include a completed copy of this checklist with your prequalification submission.

- ☐ By submitting this you are certifying that you are licensed by the State of Alabama, per Chapter 230-X-1 of the Administrative Code, to do the work of which this project consists.

- ☐ Have you answered all questions completely, honestly and to the best of your ability?

- ☐ Have you checked the advertisement and/or procedures to make sure you are submitting the correct number of packets to the correct individual(s)?

- ☐ In answering Question 7, have you clearly stated the trade(s) for which you are seeking prequalification? If you are seeking prequalification for multiple trades, make sure you follow the directions contained in Question 7 and, if applicable, the advertisement.

- ☐ Is the financial statement(s) included in your submission a two-year comparative with auditor's report and footnotes?

- ☐ Have you included a printout of your EMR in response to Questions 14. Please use your most current EMR printout.

- ☐ Have you included any required attachments to your submission, such as:
    1. Resumes for key personnel, descriptions of current projects (Attachment A),
    2. Descriptions of relevant projects in the past five years (Attachment B), and

- ☐ Have you described in detail, or included a copy of your:
    1. QAQC program
    2. Safety program
    3. Drug and criminal background program